

Article

Optimizing Stability and Performance of Silver-Based Grating Structures for Surface Plasmon Resonance Sensors

Pongsak Sarapukdee, Christian Spenner, Dirk Schulz and Stefan Palzer * 

Department of Electrical Engineering and Information Technology, Technical University Dortmund, Friedrich-Wöhler-Weg 4, 44227 Dortmund, Germany; pongsak.sarapukdee@tu-dortmund.de (P.S.)

* Correspondence: stefan.palzer@tu-dortmund.de

Abstract: The use of surface plasmon resonance sensors allows for the fabrication of highly sensitive, label-free analytical devices. This contribution reports on a grating coupler to enable surface plasmon resonance studies using silver on silicon oxide technology to build long-term stable plasmonic structures for biological molecule sensing. The structural parameters were simulated and the corresponding simulation model was optimized based on the experimental results to improve its reliability. Based on the model, optimized grating nanostructures were fabricated on an oxidized silicon wafer with different structural parameters and characterized using a dedicated optical setup and scanning electron microscopy. The combined theoretical and experimental results show that the most relevant refractive index range for biological samples from 1.32–1.46 may conveniently be covered with a highest sensitivity of 128.85°/RIU.

Keywords: plasmon resonance; microfabrication; grating coupler; simulation model; label-free; protective layer; biosensors; silver; refractive index



Citation: Sarapukdee, P.; Spenner, C.; Schulz, D.; Palzer, S. Optimizing Stability and Performance of Silver-Based Grating Structures for Surface Plasmon Resonance Sensors. *Sensors* **2023**, *23*, 6743. <https://doi.org/10.3390/s23156743>

Academic Editor: Arnaud Buhot

Received: 18 June 2023

Revised: 17 July 2023

Accepted: 26 July 2023

Published: 28 July 2023



Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introduction

Surface plasmon resonance (SPR) sensors provide highly sensitive, label-free detection with a fast response time and an efficient use of samples. SPR techniques form the basis for a multitude of biosensor studies that may be applied in various areas. It has shown significant advances as an essential tool for real-time monitoring of the interaction of different materials without using label molecules [1]. Recent developments in biosensors have included studying the interaction of biomolecules, such as enzymes [2], proteins [3], drugs [4], cells [5], DNA [6], viruses [7], and antigen and antibody interaction [8]. Most SPR-based sensor setups use a prism to excite surface plasmon polaritons (SPP) within the metal–dielectric material interface by coupling the electromagnetic wave to the collective electron oscillations. The sensitivity of the oscillations changing at the boundary is then used as a transducer to probe the medium’s properties.

Even though the prism SPR figuration offers an appropriate coupling efficiency for SPP excitation, it has limitations with regards to its potential for miniaturization and microfabrication. These drawbacks may be overcome by employing diffraction gratings to excite surface plasmons, since this approach is particularly suited for integration into small systems using the microsystem’s technology.

Surface plasmons may be excited by electromagnetic waves with wavelength λ in the visible range, which allows for using low-cost, standardized optical components to build an SPR system. In order to excite a surface plasmon wave, an incident light field must be coupled to the oscillations of the free electrons of the metal, thereby initiating the wave propagation. In grating structures with spatial period Λ and a corresponding grating vector $G = \frac{2\pi}{\Lambda}$, this is oftentimes accomplished via a diffracted beam, which enables matching to the surface plasmon parameters. Figure 1 depicts a schematic drawing of grating coupling introducing the relevant parameters of grating-coupled SPR sensors with angular sensing.

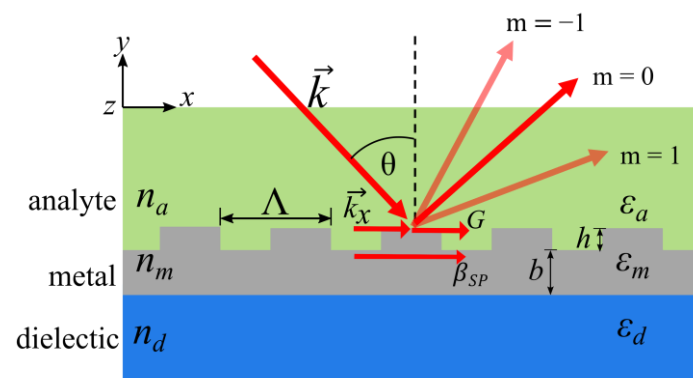


Figure 1. An incident beam with wave vector k under an angle θ with the surface is diffracted in different orders m according to the Bragg condition. In the case of the resulting diffracted wave vector coinciding with the wave vector of a surface plasmon, the latter may be excited and light energy can be transferred to the surface plasmon wave. In this case, the reflected beam intensity ($m = 0$) diminishes.

Efficient coupling may be achieved by using transverse magnetic (TM) polarized light to illuminate the grating under an angle of incidence of θ such that it leads to matched wave vectors of the surface plasmon wave $k_{sp} = \beta_{SP} = \frac{2\pi}{\lambda} \sqrt{\frac{\epsilon_a \epsilon_m}{\epsilon_a + \epsilon_m}}$ and the diffracted wave $k_a = \frac{2\pi}{\lambda} n_a \sin \theta + m \frac{2\pi}{\Lambda}$. Here, ϵ_a represent the analyte permittivity and ϵ_m represents the metallic permittivity. The refractive index of the analyte is denoted as n_a , and the m represents the grating's diffraction order [9]. These considerations allow for calculating the coupling angle:

$$\theta = \sin^{-1} \left(\frac{1}{n_a} \left(\sqrt{\frac{\epsilon_m \epsilon_a}{\epsilon_m + \epsilon_a}} - m \frac{\lambda}{\Lambda} \right) \right) \quad (1)$$

This coupling leads to a reflected wave in the zero-order angular spectrum, depending on the coupling efficiency. The irradiation of the grating at different angles produces a series of diffracted waves.

Previous efforts [10,11] have demonstrated the possibility to combine the electrical characterization and grating detection in a single chip [12]. Additionally, more sophisticated systems promising an even better performance are being investigated [13,14]. Nonetheless, a limited number of SPR grating studies are concerned with experimental studies due to the challenging fabrication processes, which require a high level of control for the process parameters. This is particularly true for silver-based structures, even though silver provides the highest sensor performance potential.

Therefore, this study reports on the investigation of a grating-coupled SPR using a silver-based structure as the central building block and combines the experimental results with a dedicated simulation model to reliably simulate the coupling behavior. This work has focused on silver because it features the highest sensitivity of common plasmonic materials. Silver is known to be the best-performing choice at optical frequencies because of low losses in the visible spectrum for incident wavelengths [15]. However, it is prone to oxidation and degradation during sensor operation. While using gold as plasmonic material prevents oxidation of the structures, silver has been chosen due to its superior plasmonic properties, cost-effectiveness, and potential for future mass production. To address this problem, a protective layer has been utilized to mitigate oxidation and degradation. To study the sensitivity and stability, as well as the ideal technological process parameters, grating structures were fabricated on an oxidized silicon wafer with varying parameters, such as the layer thickness, grating period, grating width, and grating height. For each set of experimental parameters, the plasmon properties were determined by identifying the plasmon excitation angle. The results were used as reference data to feed back into the dedicated simulation model and demonstrate the suitability of the technology for the refractive index range of 1.32–1.46, which is the most relevant range for biological molecules' sensing applications [16]. The performance using actual samples, as well as

the stability of the coupling structures were investigated and a solution to enhance the long-term stability is presented.

2. Materials and Methods

In order to enable efficient, iterative optimization of grating structures and the corresponding simulation model, a complete development cycle entailing fabrication, characterization, and simulation was implemented within this work.

The process to produce the silver grating structures is based on a 4'' Si wafer with a front- and backside finish of 100 nm thermally grown silicon oxide (SiO_2); the main process steps are shown in Figure 2. Prior to processing, the wafer was cut into $(2 \times 2) \text{ cm}^2$ squares, which were treated with 100% isopropyl alcohol in a sonicator for 2 min. A 5–10 nm thick nickel (Ni) layer was deposited via sputtering (PlasmaLab System 400, Oxford Instruments, Abingdon upon Thames, UK) to facilitate the adhesion of silver (Ag) to the substrate. Subsequently, a silver layer (Ag, 99.99% pure, Kurt J. Lesker Company Ltd., East Sussex, UK) with thickness $h = 25\text{--}125 \text{ nm}$ was deposited using thermal evaporation at a rate of 0.15 nm/s in a vacuum of $1 \times 10^{-6} \text{ Torr}$. A 250 nm thick polymethyl-meth acrylate (PMMA; 4% 950 K, 679.04, Allresist GmbH, Strausberg, Germany) layer was deposited as the e-beam resist, which is structured using an electron beam lithography system (Pioneer, Raith GmbH, Dortmund, Germany) within a total area of 1.2 mm^2 to write grating structures with periodicities ranging from $\Lambda = 700\text{--}900 \text{ nm}$. After developing the e-beam resist, a second silver deposition step using the same parameters was performed, such that the Ag base layer thickness (b) and the grating structure height (h) could be adjusted. In this study, only symmetric gratings with $w = g$ were fabricated. The final lift-off process used pure acetone in a sonicator for 15 min, followed by a cleaning step in pure isopropanol (IPA) for 2 min. In total, six different periodicities Λ , each with four different heights (h) and varying base thicknesses (b), were produced in order to study the respective effects on the performance and improve the simulation model. The resulting structures were characterized with the additional help of optical and scanning electron microscopy (SEM) images.

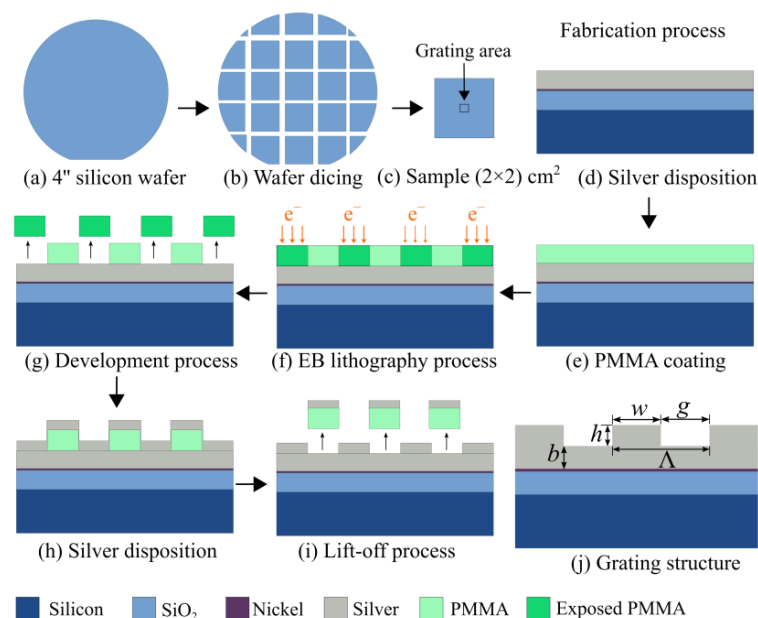


Figure 2. Grating fabrication process and grating geometry: (a) A 500 μm thick, thermally oxidized silicon wafer was used as the starting point of the process. (b) The wafer was cut in equal squares of $(2 \times 2) \text{ cm}^2$. (c) The grating area used to realize the grating structure was a square with 1.2 mm^2 . (d–j) The grating structure was manufactured using silver as the material. To facilitate adhesion with SiO_2 , Ni was sputtered onto the substrate first. Using PMMA as the e-beam resist, the grating structure was fabricated with periodicity Λ .

To characterize the grating structures' performance, surface plasmons using the grating samples were excited using a dedicated home-built measurement setup, which is depicted in Figure 3. The polarization of the light output of a 632.8 nm helium–neon gas laser (05-LHP-151, Melles Griot, Singapore) was adjusted using an integrated polarizer to provide a linearly polarized beam.

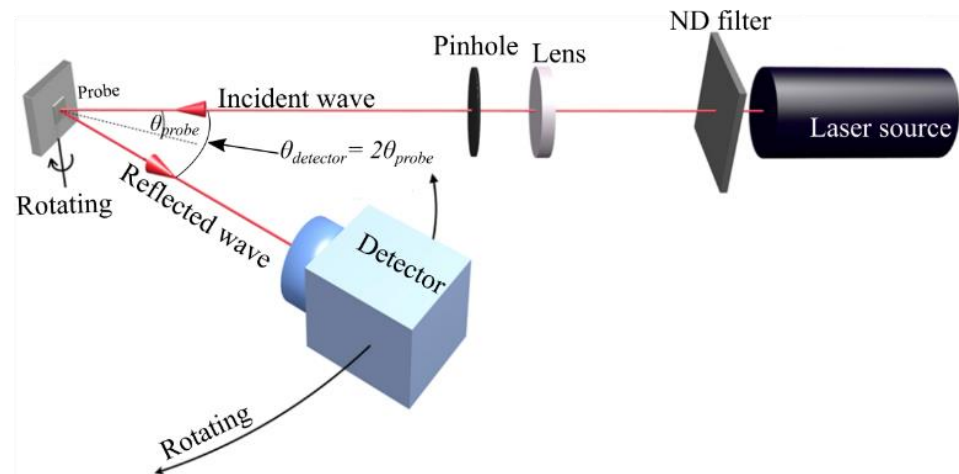


Figure 3. Diagram of the measuring setup shows the position of the sample and detector in the same plane. The setup automatically rotates the detector section such that the reflection is recorded. The adjustment of the beam's polarization and the grating structure were set such that the azimuthal angle is 90°.

The beam's intensity was set to 1 mW using a neutral density filter (ND filter). The near-ideal Gaussian beam output was collimated with a diameter of 3 mm. In order to further improve the beam profile, the light was focused onto a pinhole using a plano-convex lens with a focal length of $f = 300$ mm to block any higher order Gaussian mode contributions. It was subsequently collimated again to obtain a beam diameter of 1 mm in order to ideally illuminate the grating structure during the experiments. The corresponding sample holder was designed for the automatic reflection characteristic recording from 10 to 40 degrees, with a 0.2-degree angular resolution. To gauge the coupling efficiency, the reflected beam intensity for varying excitation angles was recorded. To this end, a light detector (ANDO, Ando Electric Co., Ltd., Tokyo, Japan) simultaneously rotated at twice the sample angle.

To conduct the biological tests, a solution of PBS (phosphate-buffered saline) was prepared, which included antibody human immunoglobulin G; IgG (150 kD, I4506, Sigma-Aldrich, Saint Louis, MO, USA) and protein A (42 kD, P6031, Sigma-Aldrich). The IgG solution with a concentration of 5 μM (0.75 mg/mL) was carefully applied to the grating structure using a micropipette. A total volume of 5 μL was deposited using a damp ring with a diameter of 2.5 mm placed around the grating area, which allowed for a reproducible production of the analyte layers. Subsequently, the solution was left to dry. This ensured that the biological samples came into contact with the grating surface. Once the solution dried on the grating, the plasmon excitation angle was measured using the same experimental setup described earlier. This initial measurement provided an IgG signal for comparison. Next, a second solution containing protein A at a concentration of 10 μM (0.42 mg/mL) was dropped with the same method described above onto the previously applied IgG solution. This step facilitated the physical binding of protein A to IgG on the grating surface. After the second solution dried, the plasmon excitation angle was scanned again using the experimental setup. This additional scanning allowed for the characterization of the interactions between the IgG and protein A on the grating structure. By comparing the plasmon excitation angles obtained before and after the protein A binding step, the influence of protein A on the optical properties of the grating structure could be assessed. These measurements provide insight into the physical interactions

and effects induced by the binding of protein A to IgG on the grating's surface. This experiment focused on demonstrating the feasibility of using a grating as a biosensor for real samples. The goal is to show that the grating could interact with the biological sample and generate a detectable response, highlighting the potential application of the grating as a biosensor. In order to evaluate the long-term stability, the grating structures were repeatedly characterized after fabrication and after 1 week, 5 weeks, and 8 weeks, respectively. Different protective coatings were applied in order to enable a study regarding their effect on aging and the long-term stability of the grating coupler structures. In particular, 20 nm of SiO₂ and silicon nitride (Si₃N₄) were deposited using a plasma enhanced chemical vapor deposition (PECVD) technique, respectively.

In parallel, the grating model was further developed by comparing the simulation results with the experimental results and analytically calculated values. The simulation model was built in Matlab based on a previous work [17]. The conventional finite-difference time-domain (FDTD) method [18] was applied for the investigation of reflectivity onto the grating structure. Although silver is the predominant metal of the grating structure, the nickel layer was considered in the simulation, assuming a thickness of 10 nm. The parameters for the silver base are given as a thickness (b) of 100 nm and a grating height (h) of 50 nm; the silver width (w) and the gap width (g) were adjusted in the simulations according to the respective achieved experimental values. For the purpose of establishing a relationship between the plasmon excitation angle and the grating period, they were set $w = g$ within the range of 350–450 nm, which is a grating period of 700–900 nm. Additionally, for simulating the sensor with various refractive indices, the grating size was specifically set to $w = g = 400$ nm, which is a grating period of 800 nm. The permittivity values of nickel and silver were modeled at a wavelength of 632.8 nm with -11.4 and -19 , respectively.

Overall, the focus of this work was placed on the optimization of the coupler structure via varying layout parameters, including the size and periodicity of the coupling structure, and characterizing the effect these parameters have on the angle at which the maximum coupling occurs. The main effort was investigating the properties of the metals, as well as the propagation and excitation of the wave.

3. Results

3.1. Experimental Characterization of Grating Performance

A visual evaluation of the grating structures was performed to verify the resulting morphology and grating parameters. Figure 4 depicts the size of the actual grating area on the silicon substrate (2×2) cm² and the silver surface using electron microscopy.

Subsequently, the plasmon wave excitation was investigated for the different grating parameters. The influence of the periodicity Λ on the angle at which the maximum absorption of the light takes place was determined, as well as the full width half-maximum (FWHM) peak width and the peak amplitude. The characterization results for a silver base thickness of $b = 100$ nm and grating height $h = 50$ nm are summarized in Table 1 and shown in Figure 5. The error in determining the periodicity was calculated via SEM picture analysis.

Table 1. Variation of grating period size.

Grating Period (nm)	SD (nm)	Excitation Angle (°)	Relative Reflectivity (%)	FWHM (°)
708	4	9.8	11.58	5.2
749	4	12.6	15.32	3.8
789	9	15.2	21.31	3.4
806	3	16.4	20.16	3.6
837	7	18.2	29.44	3.2
864	9	19.6	47.40	3.8
908	9	20.4	76.74	3.2

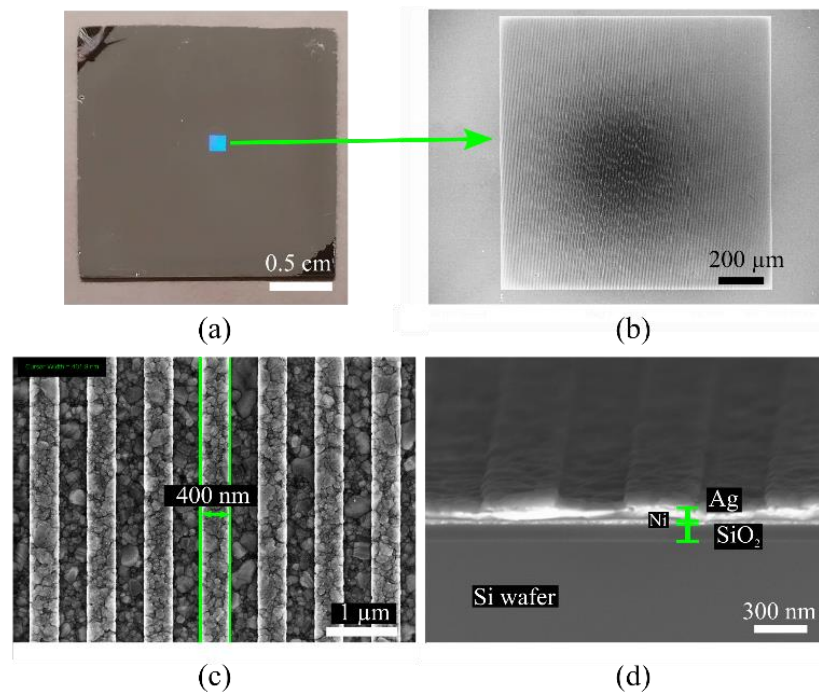


Figure 4. (a) The grating structure on the wafer size of $(2 \times 2) \text{ cm}^2$. (b) The complete grating area size of $(1.2 \times 1.2) \text{ mm}^2$ was captured by scanning electron microscope. (c) Silver grating size of 400 nm, i.e., a grating period of approximately 800 nm. (d) Cross-section of grating structure.

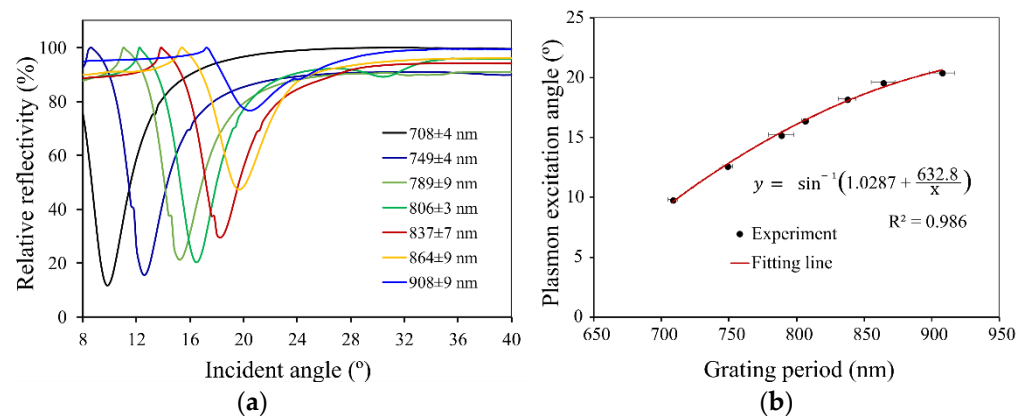


Figure 5. (a) Relative reflectivity of the silver grating with a base thickness of 100 nm and a grating height of 50 nm in the variation of the grating period from 700–900 nm. (b) Relation of plasmon excitation angle and grating period.

Accordingly, the results were used to evaluate the grating's ideal coupling angles as a function of the spatial period, as shown in Figure 5b. A curve fit using Equation (1) was used to determine the fitting parameter $\alpha = \frac{1}{n_a} \sqrt{\frac{\epsilon_m \epsilon_a}{\epsilon_m + \epsilon_a}}$ and yielded a coefficient of determination $R^2 = 0.986$. The resulting value of $\alpha = 1.0287$ is aligned closely with the theoretical expectations when using the experiment's theoretical values for $n_a = 1$, $\epsilon_m = -15.243$, $\epsilon_a = 1$ to estimate $\alpha_{theory} = 1.0345$. The experimental data obtained thus demonstrate a strong agreement with the underlying theory.

The influence of the grating height (h) was first investigated at a constant base thickness $b = 100 \text{ nm}$. For this purpose, couplers were produced with heights (h) between 25–100 nm, which were compared to each other as a function of the reflection and the intensity of the reflected light. The range of the fabrication variation was considered depending on the preliminary results of previous work. The range of the angle that the optical measurement

setup can perform is 10° – 40° , which covers the anticipated coupling angles of all the structures produced.

The behavior of a relative reflectivity curve varies. Some of the curves showed a shallow minimum at the maximum absorption angle; therefore, several dip angles were not plotted with a full profile. The data details below 10° could not be determined with high precision due to the measuring setup design limitations. The range of angles between 15° and 20° is the most relevant for the structures produced in this study. However, the design of the maximum absorption angle should start with a small angle because when the sensing layer changes, the maximum absorption will increase. For this reason, the small angle of the baseline angle will have a more ample detection range.

A total of 16 samples were compared with the same grating size of 400 nm and the period of 800 nm with the variation of silver-based thickness $b = 25$ – 100 nm and grating height $h = 25$ – 100 nm. For this fabrication series, the samples' analysis is provided with a fixed thickness of a base layer or a grating height. The performance of the samples can be characterized by the absorbance strength and the full width at half-maximum (FWHM) of the absorption curve signal [19] at the excitation angle. Moreover, the power at the maximum absorption angle was compared with 100% power of the reflected wave, which represents the efficiency of the grating coupling. Figure 6a–d shows that the thickness of the silver base and grating height had an important impact on the plasmon behaviors at different levels.

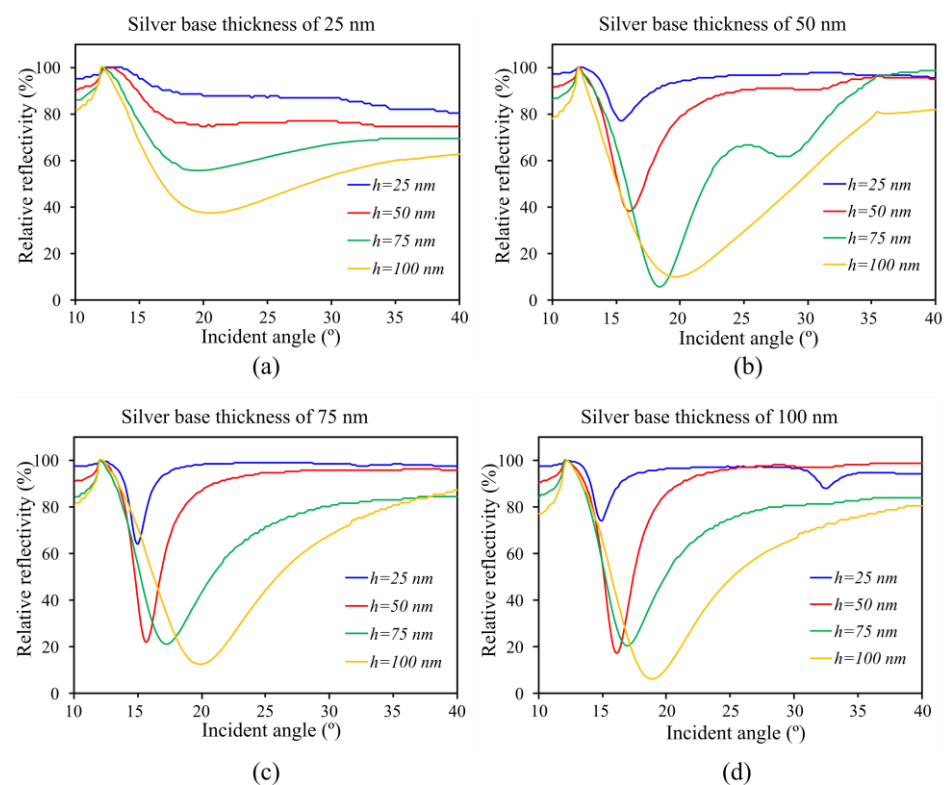


Figure 6. Relative reflectivity (%) of the grating for different silver base thicknesses and grating heights; the incident angle was scanned from 10° – 40° . The grating structures on the silver base were examined with thicknesses of 25 nm (a), 50 nm (b), 75 nm (c), and 100 nm (d), along with grating heights (h) of 25 nm, 50 nm, 75 nm, and 100 nm, respectively. Among these, the grating structures with a silver base thickness of 75 nm and 100 nm, combined with a grating height of 50 nm, exhibited the highest performance, characterized by lower relative reflection and a smaller FWHM value.

The coupling efficiency (CE) was evaluated through calculations based on the characteristics of the signal, namely, the relation between the full width at half-maximum (FWHM) of the relative reflectivity δR and relative reflectivity R_{rel} , according to Equation (2):

$$CE = \frac{100 - R_{rel}}{\delta R} \quad (2)$$

The reduced spectral broadening and lower reflectivity contributed to a higher proportion of incident light being coupled into the grating, resulting in higher efficiency, which can be appreciated in Figure 6. The base layer's thicknesses exceeding 75 nm did not affect the excitation angle.

On the other hand, the grating height shows a sharp signal as small FWHM at 50 nm of the grating height, but it is less sharp when the grating is higher than 75 nm.

It is shown by the results that a grating base layer with a thickness $b = 75\text{--}100$ nm and grating height of $h = 50$ nm yield the best performance for silver-based gratings. The grating's structural parameters are highly significant for sensing purposes, and a graphic representation of the coupling quality versus the structural parameters of the grating is provided in Figure 7 using interpolation of all the experimentally obtained values.

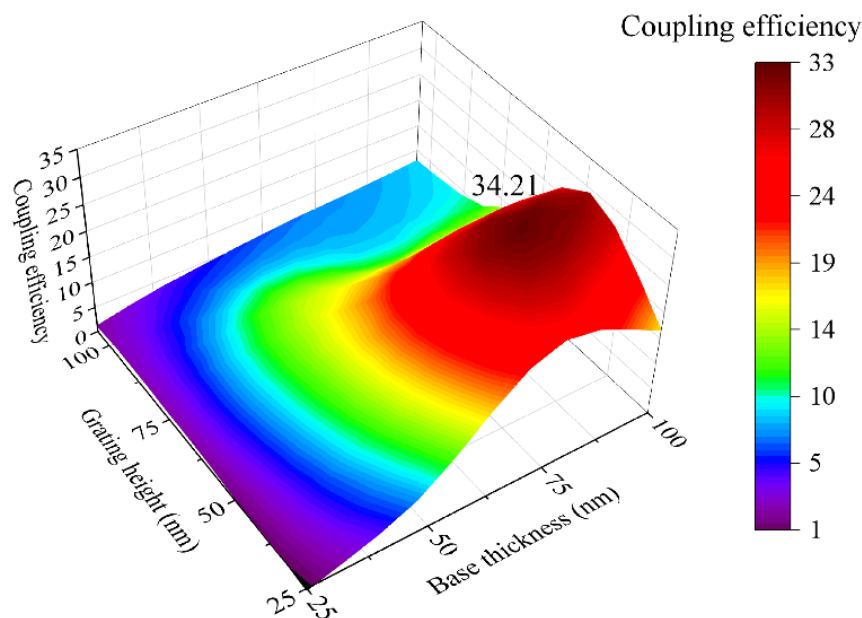


Figure 7. Coupling efficiency in grating structures with varying base thicknesses and grating heights, from 25 to 100 nm. The calculations were conducted considering a signal characterized by the full width at half-maximum (FWHM) and relative reflectivity. The highest coupling efficiency by the contour graph is 34.21, achieved from the base thickness of 84 nm and grating height of 44 nm.

While the experiment results achieved the highest coupling efficiency of 32.50 with structures with a base thickness $b = 75$ nm and height $h = 50$ nm, the data analysis points towards an ideal coupling efficiency of 34.21 when producing structures with a base thickness $b = 84$ nm and grating height $h = 44$ nm. Consequently, the experimentally obtained coupling efficiency is within 5% of the ideal value.

3.2. Iterative Simulation Optimization

The ratio of the respective penetration depths in the simulation corresponds to the experimental results. It is important to note that in order to determine the absolute penetration depth, the reflectivity was assessed somewhat differently in the simulation than in the experimental case. Only the power reflected at the angle of incidence was determined in the latter case. In contrast to this, the power reflected over the entire angular range was used in the simulation to determine the reflectivity. The curve in Figure 8b shows the line

of the results of the experiment, simulation, and ab initio calculation based on Equation (1).

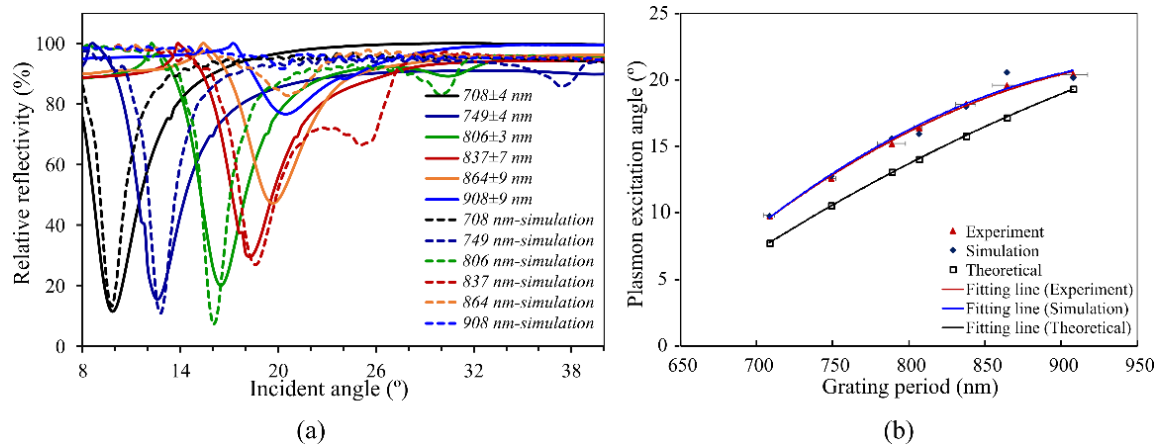


Figure 8. Comparison of experimental results and simulation results. (a) The dashed lines represent simulation results, and solid lines are the experiment results. (b) Comparing the three results, the experiment and simulation showed remarkably similar outcomes; however, the theoretical calculation yielded a lower number.

Overall, the values determined by the simulation agree with both the theoretical and experimental values. In particular, the sensitivity parameter, which is important for evaluating sensors, was reproduced by the simulation. The experiment and simulation show good agreement, indicating that the simulation accurately represents the real-world behavior of the phenomenon. The close correspondence between these results indicates the reliability of the simulation model. However, the theoretical calculation resulted in slightly lower values, which is likely due to simplifying assumptions or limitations in the theoretical model. It is important to note that the theoretical calculations are based on idealized conditions and may not perfectly align with real-world observations.

In order to obtain a simulation model resembling real-world behavior to a high degree, the model was adjusted to fit to the experimental works based on the coefficient and refractive index of the materials. After modification, the model was used for the sensor propose to analyze the sample over the grating structure in various refractive indexes.

In the literature, the refractive indexes (RIs) of biological samples have been reported in theoretical and experimental studies to range from 1.33 to 1.46. The approximate values of the biological samples from previous reports are summarized in Table 2.

Table 2. List of approximate values for refractive index of biological samples.

Medium	Refractive Index	References
Water, PBS	1.33, 1.332	[20]
Extra- and intra-cellular fluid	1.34–1.35, 1.35–1.36	[21]
Proteins, lipids, DNA	1.40, 1.42, 1.44	[22]
Skin, muscle, adipose	1.36, 1.39, 1.46	[23]
Blood plasma	1.335	[24]
Hemoglobin	1.354	[25]

They have been tested in various models, such as protein, DNA, intra- and extra-cellular fluid, etc. Therefore, this study has simulated and tested situations covering the range of RIs of the most recent biological sensors studies. Figure 9 shows that the proposed sensor could be a potential candidate for sensing the analyte of two refractive indexes ranging from 1.32 to 1.37 and 1.37 to 1.46.

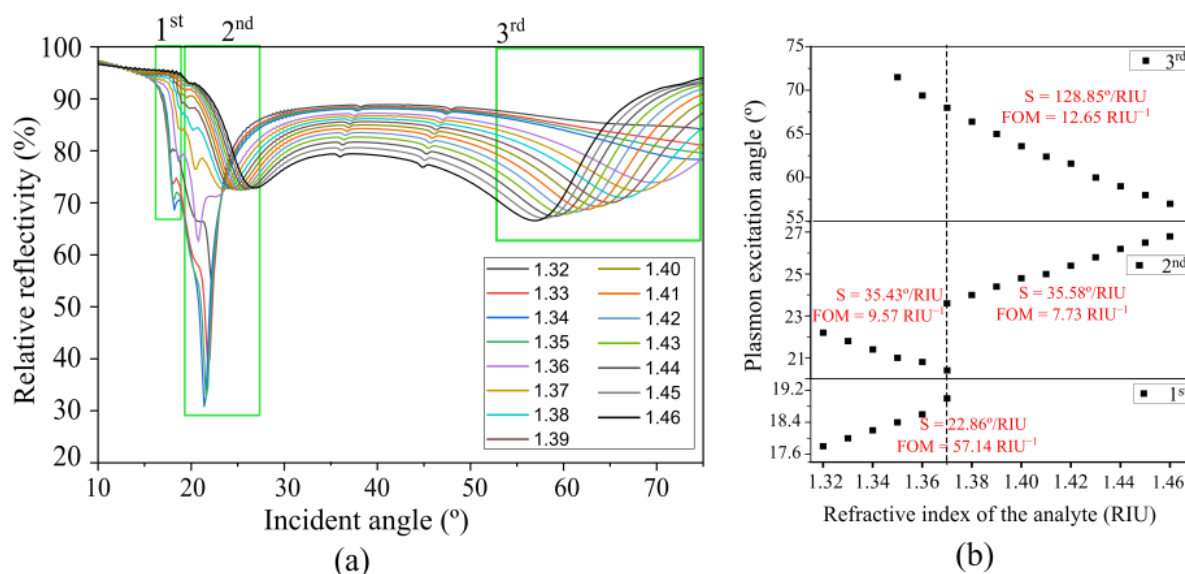


Figure 9. (a) Simulation of the reflectivity of the sensor with various refractive indices of the analytes and the various diffraction orders. (b) Resonant angle as a function of refractive index. The behavior of the plasmon excitation angle for varying refractive indices is dependent on the order of the diffraction employed for sensing.

According to Equation (1), θ varies with refractive index n_a , thus the grating configuration can be used as a refractive index sensor. The sensor's sensitivity S is defined as follows:

$$S = \frac{\Delta\theta}{\Delta n_a} \quad (3)$$

where $\Delta\theta$ is the change in the plasmon excitation angle corresponding to Δn_a change in the analyte refractive index. The figure of merit (FOM) is another measure used to quantify sensor performance [26]. It is defined as $FOM = S/FWHM$, where FWHM is the full width at half-maximum of the absorption curve signal at the excitation angle. A higher S and a larger FOM can be used as sensor performance parameters.

The SPR response of the sensor with various refractive indexes of the analytes are shown in Figure 9a. The first range of the refractive index varied from 1.32 to 1.37. It was found that the dip strength was about 30–70%. The plasmon excitation angle as a function of the refractive index demonstrated a positive trend for the first diffraction order, but showed a negative trend for the second diffraction order, as shown in Figure 9b. The sensitivity of the proposed sensor is $22.86^\circ/\text{RIU}$ ($FOM = 57.14 \text{ RIU}^{-1}$) and $35.43^\circ/\text{RIU}$ ($FOM = 9.57 \text{ RIU}^{-1}$) for the first and second diffraction orders, respectively. The second range of the refractive index varied from 1.37 to 1.46. Unlike the study conducted on the refractive index range of 1.32 to 1.37, the result only shows the presence of the second diffraction order and the third diffraction order. The results show the sensitivity of sensor $35.58^\circ/\text{RIU}$ ($FOM = 7.73 \text{ RIU}^{-1}$) and $128.85^\circ/\text{RIU}$ ($FOM = 12.65 \text{ RIU}^{-1}$) for the second and third diffraction orders, respectively. A comparison between this study and previously reported works is also given in Table 3.

3.3. Sensing Performance and Long Term Stability

The results that were obtained for the examination of biomolecules using antibody-based techniques are presented in Figure 10.

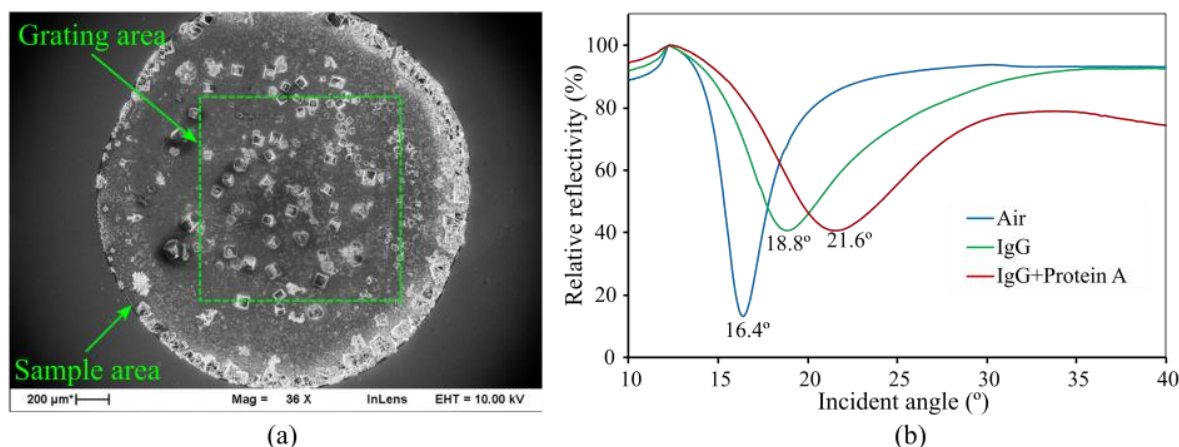


Figure 10. (a) SEM detail of the microscope morphology of antibody (IgG) and protein A on the grating structure. (b) Relative reflectivity (%) of the grating for air (16.4°), antibody (IgG) (18.8°), and protein A bound to immobilized IgG antibody (21.6°); the incident angle was scanned from 10° to 40°.

The experiment tracked the changes that occurred during each step of the process. Figure 10a shows an electron microscope image of a sample on the grating structure; it showcases the success of the drop solution on the grating area. The sample area effectively covered the entire grating structure, with the observed morphology indicating the presence of dry biosamples. Notably, the image reveals the occurrence of the coffee-ring effect [27], where particles tend to concentrate along the original drop edge. However, due to the drop area being larger than the grating area, this effect was mitigated, reducing its impact on the experiment, while Figure 10b illustrates the relative reflectivity chart with respect to the plasmon excitation angle. The initial measurement involved analyzing an air sample to ensure that the grating structure was properly constructed and functioning. The result serves as the baseline, indicating a plasmon excitation angle of 16.4° for air as the analyte. Subsequently, the maximum plasmon excitation angle increased to 18.8°, reflecting the presence of IgG molecules. In the next step, a protein A solution was applied as a second layer onto the grating structure, allowed to dry, and then measured again. The results revealed a further shift in the plasmon excitation angle to 21.6°, indicating the influence of protein A on the grating structure. The reflectivity curve for IgG + protein A demonstrates a pronounced impact of protein A association on the curve, particularly noticeable by the considerable decrease in the baseline reflectance at high incident angles. This effect can be attributed to two potential explanations. Firstly, the thickness of the analyzed layer, which encompassed the immobilized IgG and associated protein A, is likely greater than that of the IgG-only layer, thereby influencing the signal profile (refer to Figure 6, specifically when $h > 75$ nm). Secondly, the combination of IgG and protein A might exhibit a secondary deep angle near 40°, potentially leading to interference with the baseline reflectance (as depicted in Figure 10b). These factors collectively contribute to the notable decline in the baseline reflectance observed at high incident angles.

Furthermore, referring to the simulation results in Figure 9, it was calculated that the refractive index of the IgG layer was 1.367, while that of the IgG + protein A layer was 1.337. These values provide additional insight into the optical properties of the respective layers in the grating structure. In this specific experiment, the interaction between protein A and IgG did not occur with high affinity or in a strictly controlled manner; however, it is important to clarify that the drop-on surface method primarily focuses on demonstrating the concept of refractive index changes rather than conducting a kinetic study of physical binding.

Table 3. Comparison of sensor preferment with other previous works.

Structure Configuration	Method	Coating	Wavelength (nm)	Sensitivity (°/RIU)	References
Prism	Sim.	Ag-Au	632.8	54.84	[28]
Prism	Sim.	Graphene-hybrid	633	56.34–60.62	[29]
Prism with air gap	Sim.	Ag-graphene	633	61.54–68.03	[30]
Grating integrated prism	Sim.	Ag-graphene	633	220.67	[31]
Bimetallic grating	Sim.	Al-Au	900	187.2	[32]
Bimetallic grating	Sim.	Ag-Au	920	346	[33]
Two-dimensional bimetallic alloy grating	Sim.	Ag, Au, Cu, Pd, Pt	700	152–161	[34]
Grating with phase-interrogation	Exp.	Au	633	300	[35]
Multilayer grating	Sim.	Al-Au	900	279.6	[36]
Grating	Exp./Sim.	Ag	632.8	22.86–128.85	This work

Simulation (Sim.), experiment (Exp.).

The results of the long-term stability test with and without protective coatings is depicted in Figure 11.

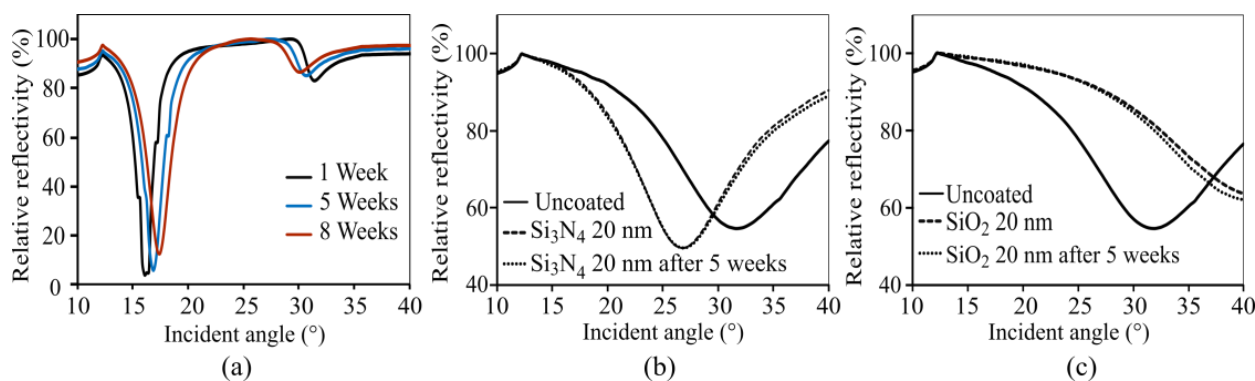


Figure 11. (a) Without protective coating, the excitation angles drifts considerably over time due to changes to the silver coating material. (b) Using Si_3N_4 , the excitation angle shifts to smaller angles, but the performance of the grating coupler remains constant over time. (c) The use of SiO_2 shifts the excitation angle to higher values and the long-term stability is inferior when compared to using Si_3N_4 .

The unprotected silver grating structure quickly deteriorated within a few weeks, leading to a shift in the resonance angle and coupling efficiency. The use of protective layers shifts the respective excitation angles according to changes in the effective grating periodicity due to altered refractive indices. The Si_3N_4 layer did not show any drifts, while the SiO_2 layer led to a slower but considerable drift. Even though SiO_2 and Si_3N_4 have emerged as suitable platforms for a protective layer, silicon functionalization must be carefully considered. Physical absorption was used in this study as a simple approach to immobilizing biomolecules on silicon oxide. However, other approaches have been refined to achieve maximal surface coverage while minimizing biomolecule activity loss [37]. Covalent bonding [38,39] has demonstrated excellent stability and long-term immobilization capabilities. Self-assembled monolayers (SAMs) [40,41] provide controlled surface modification and enhanced specificity. The sol-gel method [42] offers versatile coating possibilities and excellent biocompatibility. Considering these techniques is crucial for successfully translating silicon-based immobilization strategies into real-world applications.

4. Discussion

The interpretation of the experimental results of the grating parameters is twofold: Firstly, a precise control of the structure parameters is mandatory in order to produce reproducibly performing grating structures. Secondly, the underlying theory describing the coupling behavior is incomplete since it is not able to take into account the effect of the base layer thickness and composition. The experimental results strongly indicate that the thickness of the metal layer is a main factor influencing the coupling efficiency. Previous works by other groups have illustrated similar behavior [31,43] and have also reported on the effects that the base thickness and the grating's spatial period have on the excitation angle of the surface plasmons and the full width at half-maximum (FWHM) of the signal. Herein, the ideal parameters have been determined from the experimental results.

Likewise, the experimental results have been used to fine-tune a simulation model to provide a precise computer-based tool to evaluate the design of tailor-made structures. The deviation of the *ab initio* calculation from the simulation results indicates that the theoretical description of plasmon excitation via grating structures is incomplete and a more comprehensive description that considers the base layer thickness is required. Overall, the data obtained from these experiments and simulations highlight the changes in the plasmon excitation angles and reflectivity, demonstrating the impact of the biomolecules on the grating structure and enabling the characterization of their performance.

Lastly, the experiments using real-world samples demonstrate the potential of using silver-based grating structures for biosample analysis, as well as the broad range of refractive index changes that may be monitored. The results on the long-term stability highlight the necessity to investigate methods to extend the lifetime of silver-based structures. While recovering the performance via chemical or thermal treatments are possible routes to enhance the lifetime of the grating coupler, the use of a protective layer is a viable alternative. In addition, the changes in behavior of both the plasmon excitation angle and the coupling efficiency show the need to further expand the theoretical description and simulation model in order to provide comprehensive means for designing SPR-based biosensors.

5. Conclusions

The grating coupler was fabricated on a silicon wafer using silver as highly sensitive plasmonic material. The parameters of the grating structure influenced the plasmon excitation angle. The experimental results have shown that the silver-based grating structure configuration with a grating period $\Lambda = 800$ nm, a silver-based thickness of $b = 75\text{--}100$ nm, and a grating height of $h = 50$ nm yielded the best sensitivity. Moreover, the simulation model was built to predict the characteristics of the grating structure for all the relevant experimental parameters. The computer model performed the related results with the experimental results in the range of $\Lambda = 700\text{--}900$ nm for the grating period. Therefore, the model should improve the parameters to be viable in future work. For the purpose of sensing, the grating SPR performance within the RIs range of 1.32 to 1.46 showed two different trends. The first range of 1.32 to 1.37 showed sensitivity of about 22.85, 35.43°/RIU and the second range of 1.37 to 1.46 was 35.58, 128.85°/RIU.

In the biological sample, the simulation results and experimental results reveal the refractive indices of the IgG and IgG + protein A layers within the grating structure. The IgG layer had a refractive index of 1.367, while the IgG + protein A layer had a refractive index of 1.337. These values provide insight into the optical properties of the respective layers and their interaction with light within the grating structure. The use of a protective 20 nm thick Si_3N_4 layer proved to be an effective means to introduce long-term stability into silver-based grating structures.

In addition, the results show that the grating period, the thickness of the grating, and the grating base are key parameters in improving the resolution and sensitivity of the grating sensor. The grating period can be an indicator for selecting the angle of the incident to excite plasmons. Additionally, the thickness of the base and grating height can improve the resolution and sensitivity by showing the FWHM. Therefore, to achieve the

improvement of plasmonic grating sensing, three parameters must be considered before using it.

Author Contributions: Conceptualization, P.S. and D.S.; methodology, P.S.; software, C.S.; writing S.P. and P.S.; supervision, S.P. All authors have read and agreed to the published version of the manuscript.

Funding: P.S. appreciates funding from the Erasmus Mundus LEADERS (leading mobility between Europe and Asia in developing engineering education and research) program.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: The data underlying the results presented in this paper are not publicly available at this time but may be obtained from the authors upon reasonable request.

Acknowledgments: P.S. thanks Philipp Czyba (TU Dortmund) for providing valuable guidance on cleanroom facilities and measurement equipment.

Conflicts of Interest: The authors declare no conflict of interest.

References

1. Miyazaki, C.M.; Shimizu, F.M.; Ferreira, M. Surface Plasmon Resonance (SPR) for Sensors and Biosensors. In *Nanocharacterization Techniques*; Elsevier: Amsterdam, The Netherlands, 2017; pp. 183–200, ISBN 9780323497787.
2. Stöcklein, W.F.; Behrsing, O.; Scharte, G.; Micheel, B.; Benkert, A.; Schössler, W.; Warsinke, A.; Scheller, F.W. Enzyme kinetic assays with surface plasmon resonance (BIAcore) based on competition between enzyme and creatinine antibody. *Biosens. Bioelectron.* **2000**, *15*, 377–382. [[CrossRef](#)] [[PubMed](#)]
3. Drescher, D.G.; Ramakrishnan, N.A.; Drescher, M.J. Surface plasmon resonance (SPR) analysis of binding interactions of proteins in inner-ear sensory epithelia. *Methods Mol. Biol.* **2009**, *493*, 323–343. [[CrossRef](#)] [[PubMed](#)]
4. Salamon, Z.; Fitch, J.; Cai, M.; Tumati, S.; Navratilova, E.; Tollin, G. Plasmon-waveguide resonance studies of ligand binding to integral proteins in membrane fragments derived from bacterial and mammalian cells. *Anal. Biochem.* **2009**, *387*, 95–101. [[CrossRef](#)] [[PubMed](#)]
5. Zhang, H.; Yang, L.; Zhou, B.; Wang, X.; Liu, G.; Liu, W.; Wang, P. Investigation of biological cell-protein interactions using SPR sensor through laser scanning confocal imaging-surface plasmon resonance system. *Spectrochim. Acta A Mol. Biomol. Spectrosc.* **2014**, *121*, 381–386. [[CrossRef](#)]
6. Huang, Y.; Zhang, L.; Zhang, H.; Li, Y.; Liu, L.; Chen, Y.; Qiu, X.; Yu, D. Development of a Portable SPR Sensor for Nucleic Acid Detection. *Micromachines* **2020**, *11*, 526. [[CrossRef](#)]
7. Singh, P. Surface Plasmon Resonance: A Boon for Viral Diagnostics. In *Reference Module in Life Sciences*; Elsevier: Amsterdam, The Netherlands, 2017; ISBN 978-0-12-809633-8.
8. Zhao, H.; Gorshkova, I.I.; Fu, G.L.; Schuck, P. A comparison of binding surfaces for SPR biosensing using an antibody-antigen system and affinity distribution analysis. *Methods* **2013**, *59*, 328–335. [[CrossRef](#)]
9. Homola, J. Surface plasmon resonance sensors for detection of chemical and biological species. *Chem. Rev.* **2008**, *108*, 462–493. [[CrossRef](#)]
10. Saito, Y.; Yamamoto, Y.; Kan, T.; Tsukagoshi, T.; Noda, K.; Shimoyama, I. Electrical detection SPR sensor with grating coupled backside illumination. *Opt. Express* **2019**, *27*, 17763–17770. [[CrossRef](#)]
11. Kwa, T.A.; Wolffenbuttel, R.F. Integrated grating/detector array fabricated in silicon using micromachining techniques. *Sens. Actuators A Phys.* **1992**, *31*, 259–266. [[CrossRef](#)]
12. Sonato, A.; Agostini, M.; Ruffato, G.; Gazzola, E.; Liuni, D.; Greco, G.; Travagliati, M.; Cecchini, M.; Romanato, F. A surface acoustic wave (SAW)-enhanced grating-coupling phase-interrogation surface plasmon resonance (SPR) microfluidic biosensor. *Lab Chip* **2016**, *16*, 1224–1233. [[CrossRef](#)]
13. Huang, J.; Wang, W.; Xu, X.; Zhou, S.; Tang, C.; Gao, F.; Chen, J. Ultraviolet ultranarrow second-order magnetic plasmon induced reflection of lifted 3D metamaterials for slow light and optical sensing. *Results Phys.* **2023**, *47*, 106354. [[CrossRef](#)]
14. Yan, Z.; Lu, X.; Chen, K.; Lv, Z.; Pu, X.; Tang, C.; Cai, P. Ultranarrow Dual-Band Perfect Absorption in Visible and Near-infrared Regimes Based on Three-Dimensional Metamaterials for Ultrahigh-Sensitivity Sensing. *J. Lightwave Technol.* **2021**, *39*, 7217–7222. [[CrossRef](#)]
15. West, P.R.; Ishii, S.; Naik, G.V.; Emani, N.K.; Shalae, V.M.; Boltasseva, A. Searching for better plasmonic materials. *Laser Photon. Rev.* **2010**, *4*, 795–808. [[CrossRef](#)]
16. Khan, R.; Gul, B.; Khan, S.; Nisar, H.; Ahmad, I. Refractive index of biological tissues: Review, measurement techniques, and applications. *Photodiagn. Photodyn. Ther.* **2021**, *33*, 102192. [[CrossRef](#)]
17. Spenner, C.; Kleene, H.; Sarapukdee, P.; Kallis, K.; Schulz, D. Analysis of SiO₂- and MgF₂-Based Surface Plasmon Resonance Sensors. In Proceedings of the 26th International Workshop on Optical Wave & Waveguide Theory and Numerical Modelling, Bad Sassendorf, Germany, 13–14 April 2018; p. 39.

18. Sullivan, D.M. *Electromagnetic Simulation Using the FDTD Method*; John Wiley & Sons, Inc.: Hoboken, NJ, USA, 2013; ISBN 9781118646700.
19. Reddy, A.N.K.; Sagar, D.K. Half-width at half-maximum, full-width at half-maximum analysis for resolution of asymmetrically apodized optical systems with slit apertures. *Pramana* **2014**, *84*, 117–126. [[CrossRef](#)]
20. Zhernovaya, O.; Sydoruk, O.; Tuchin, V.; Douplik, A. The refractive index of human hemoglobin in the visible range. *Phys. Med. Biol.* **2011**, *56*, 4013–4021. [[CrossRef](#)]
21. Tučín, V.V. *Tissue Optics: Light Scattering Methods and Instruments for Medical Diagnosis*, 3rd ed.; SPIE Press: Bellingham, WA, USA, 2015; ISBN 9781628415162.
22. Bigio, I.J.; Bown, S.G. Spectroscopic sensing of cancer and cancer therapy: Current status of translational research. *Cancer Biol. Ther.* **2004**, *3*, 259–267. [[CrossRef](#)]
23. Tearney, G.J.; Brezinski, M.E.; Southern, J.F.; Bouma, B.E.; Hee, M.R.; Fujimoto, J.G. Determination of the refractive index of highly scattering human tissue by optical coherence tomography. *Opt. Lett.* **1995**, *20*, 2258. [[CrossRef](#)]
24. Lazareva, E.N.; Tuchin, V.V. Measurement of refractive index of hemoglobin in the visible/NIR spectral range. *J. Biomed. Opt.* **2018**, *23*, 1–9. [[CrossRef](#)]
25. Sydoruk, O.; Zhernovaya, O.; Tuchin, V.; Douplik, A. Refractive index of solutions of human hemoglobin from the near-infrared to the ultraviolet range: Kramers-Kronig analysis. *J. Biomed. Opt.* **2012**, *17*, 115002. [[CrossRef](#)]
26. Xu, Y.; Wu, L.; Ang, L.K. Surface Exciton Polaritons: A Promising Mechanism for Refractive-Index Sensing. *Phys. Rev. Appl.* **2019**, *12*, 24029. [[CrossRef](#)]
27. Yunker, P.J.; Still, T.; Lohr, M.A.; Yodh, A.G. Suppression of the coffee-ring effect by shape-dependent capillary interactions. *Nature* **2011**, *476*, 308–311. [[CrossRef](#)] [[PubMed](#)]
28. Xia, L.; Yin, S.; Gao, H.; Deng, Q.; Du, C. Sensitivity Enhancement for Surface Plasmon Resonance Imaging Biosensor by Utilizing Gold–Silver Bimetallic Film Configuration. *Plasmonics* **2011**, *6*, 245–250. [[CrossRef](#)]
29. Hossain, M.B.; Rahman Khan, M.M.; Sadiqur Rahman, M.; Bin Badrudduza, S.S.; Sabiha, M.M.; Rana, M.M. Graphene-MoS₂-Au-TiO₂-SiO₂ Hybrid SPR Biosensor: A New Window for Formalin Detection. *J. Mater. Appl.* **2019**, *8*, 51–58. [[CrossRef](#)]
30. Verma, A.; Prakash, A.; Tripathi, R. Sensitivity enhancement of surface plasmon resonance biosensor using graphene and air gap. *Opt. Commun.* **2015**, *357*, 106–112. [[CrossRef](#)]
31. Kong, L.; Lv, J.; Gu, Q.; Ying, Y.; Jiang, X.; Si, G. Sensitivity-Enhanced SPR Sensor Based on Graphene and Subwavelength Silver Gratings. *Nanomaterials* **2020**, *10*, 2125. [[CrossRef](#)]
32. Hu, C.; Liu, D. High-performance Grating Coupled Surface Plasmon Resonance Sensor Based on Al-Au Bimetallic Layer. *MAS* **2010**, *4*, 8. [[CrossRef](#)]
33. Bijalwan, A.; Rastogi, V. Sensitivity enhancement of a conventional gold grating assisted surface plasmon resonance sensor by using a bimetallic configuration. *Appl. Opt.* **2017**, *56*, 9606–9612. [[CrossRef](#)]
34. Dhibi, A.; Khemiri, M.; Oumezzine, M. Theoretical study of surface plasmon resonance sensors based on 2D bimetallic alloy grating. *Photonics Nanostructures Fundam. Appl.* **2016**, *22*, 1–8. [[CrossRef](#)]
35. Rossi, S.; Gazzola, E.; Capaldo, P.; Borile, G.; Romanato, F. Grating-Coupled Surface Plasmon Resonance (GC-SPR) Optimization for Phase-Interrogation Biosensing in a Microfluidic Chamber. *Sensors* **2018**, *18*, 1621. [[CrossRef](#)]
36. Bijalwan, A.; Rastogi, V. Gold-aluminum-based surface plasmon resonance sensor with a high quality factor and figure of merit for the detection of hemoglobin. *Appl. Opt.* **2018**, *57*, 9230–9237. [[CrossRef](#)] [[PubMed](#)]
37. Hu, S.; Zhao, Y.; Qin, K.; Retterer, S.T.; Kravchenko, I.I.; Weiss, S.M. Enhancing the Sensitivity of Label-Free Silicon Photonic Biosensors through Increased Probe Molecule Density. *ACS Photonics* **2014**, *1*, 590–597. [[CrossRef](#)]
38. Mondal, S.; Malik, S.; Sarkar, R.; Roy, D.; Saha, S.; Mishra, S.; Sarkar, A.; Chatterjee, M.; Mandal, B. Exuberant Immobilization of Urease on an Inorganic SiO₂ Support Enhances the Enzymatic Activities by 3-fold for Perennial Utilization. *Bioconjugate Chem.* **2019**, *30*, 134–147. [[CrossRef](#)] [[PubMed](#)]
39. Sim, Y.-K.; Jung, H.; Kim, S.H.; Park, J.-W.; Park, W.-J.; Jun, C.-H. A one-step method for covalent bond immobilization of biomolecules on silica operated in aqueous solution. *Chem. Sci.* **2018**, *9*, 7981–7985. [[CrossRef](#)]
40. Qdemat, A.; Kentzinger, E.; Buitenhuis, J.; Rücker, U.; Ganeva, M.; Brückel, T. Self assembled monolayer of silica nanoparticles with improved order by drop casting. *RSC Adv.* **2020**, *10*, 18339–18347. [[CrossRef](#)]
41. Roscioni, O.M.; Muccioli, L.; Mityashin, A.; Cornil, J.; Zannoni, C. Structural Characterization of Alkylsilane and Fluoroalkylsilane Self-Assembled Monolayers on SiO₂ by Molecular Dynamics Simulations. *J. Phys. Chem. C* **2016**, *120*, 14652–14662. [[CrossRef](#)]
42. Anastasescu, C.; Preda, S.; Rusu, A.; Cullita, D.; Plavan, G.; Strungaru, S.; Calderon-Moreno, J.M.; Munteanu, C.; Gifu, C.; Enache, M.; et al. Tubular and Spherical SiO₂ Obtained by Sol Gel Method for Lipase Immobilization and Enzymatic Activity. *Molecules* **2018**, *23*, 1362. [[CrossRef](#)]
43. Karabchevsky, A.; Krasnykov, O.; Auslender, M.; Hadad, B.; Goldner, A.; Abdulhalim, I. Theoretical and Experimental Investigation of Enhanced Transmission Through Periodic Metal Nanoslits for Sensing in Water Environment. *Plasmonics* **2009**, *4*, 281–292. [[CrossRef](#)]

Disclaimer/Publisher’s Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.